



## IN THE UNITED STATES AS T AND TRADEMARK OFFICE

In re Application of

Herbert JOHANN et al.

Serial No.:

10/610,934

Filed: July 01, 2003

For:

Hydrodynamic Torque Converter With At Least One Axial Bearing Arrangement For Supporting

The Stator

Examiner: Group Art:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 21, 2003 (Date of Deposit)

Thomas C. Pontani Signature

> October 21, 2003 Date of Signature

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A (04-03).

The references have been cited in the search report for the corresponding European application, a copy of which is enclosed. Corresponding U.S. patents have been listed where available.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08A (04-03) be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

indicated, the date of publication indicated for an item is taken from the face of the item and

Applicants reserve the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By:

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Dated: October 21, 2003

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for I	Form 1449/PTO	nm a Co	Complete if Known			
		Ħ	OCT 2 3 2003	נח	Application Number	10/610,934
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Filing Date	July 01, 2003
					First Named Inventor	Herbert JOHANN
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	(000 00 1101)	0.100.00	,,		Examiner Name	
Sheet	1	of	1		Attorney Docket Number	4452-568

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Document Number  Number-Kind-Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5,676,230	10-14-1997	Awaji et al.		
		US-4,441,315	04-10-1984	Bochot		
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T <sup>6</sup>
Initials*	No. 1	Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
		DE 199 47 435	04-05-2001	Frey et al.		

Examiner Signature	Date Considered	

Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.